

NEW ACCOUNT

Account Opening Date dd/mm/yyyy

Client Name _____

Account Number - - - - -

22 digits

EXISTING ACCOUNT

Account Opening Date dd/mm/yyyy

Client Name _____

Transaction Date dd/mm/yyyy Individual Transaction > \$100k Outward Inward

PEP/HIO Occupation Details:

1. Current Occupation: _____ Years of Occupation: From dd/mm/yyyy To dd/mm/yyyy

2. Former Occupation: _____ Years of Occupation: From dd/mm/yyyy To dd/mm/yyyy

Measures Taken to Determine PEP's/HIO's Status

PEP Status Determined Based on:

- Foreign PEP Domestic PEP Head of International Organization (HIO) Family Member of PEP/HIO

Foreign PEP

- A Head of State or Government;
- A Member of the Executive Council of Government or Member of a Legislature;
- A Deputy Minister (or Equivalent);
- An Ambassador or an Ambassador's Attaché or Counsellor;
- A Military General (or Higher Rank);
- A President of A State-Owned Company or Bank;
- A Head of a Government Agency;
- A Judge; or
- A Leader or President of a Political Party in a Legislature.

Domestic PEP

- Governor General, Lieutenant Governor or Head of Government;
- Member of the Senate or House of Commons or Member of a Legislature;
- Deputy Minister or Equivalent Rank;
- Ambassador, or Attaché or Counsellor of an Ambassador;
- Military Officer with a Rank of General or above;
- President of a Corporation that is wholly Owned Directly by her Majesty in Right of Canada or a Province;
- Head of a Government Agency;
- Judge of an Appellate Court in a Province, the Federal Court of Appeal or the Supreme Court of Canada;
- Leader or President of a Political Party Represented in a Legislature; or
- Mayor

Head of International Organization (HIO)

- An International Organization Established by the Governments of States; or
- An Institution Established by an International Organization.

Family Member of a PEP or HIO

- Mother or Father
- Biological or Adoptive Child(ren)
- Spouse or Common Law Partner
- Spouse's or Common Law Partner's Mother or Father
- Child(ren) of Their Mother or Father (Sibling(s))

List of Family Members of the Individual Described above, that have Existing Accounts with HCB

- Mother or Father: _____
- Biological or Adoptive Child(ren): _____
- Spouse or Common Law Partner: _____
- Spouse's or Common Law Partner's Mother or Father: _____
- Child(Ren) of Their Mother or Father (Sibling(s)): _____

Source of Funds

Source of Wealth

FOR OFFICE USE

Marked PEP in hPLUS and EDD: Yes No

RM/Branch Supervisor	Branch Manager's	CEO / SM's (Senior Management)
Signature: _____	Signature: _____	Signature: _____
Date: <u>dd/mm/yyyy</u>	Date: <u>dd/mm/yyyy</u>	Date: <u>dd/mm/yyyy</u>

Reason if Disapproved

CCO Signature: _____ **Date:** dd/mm/yyyy